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PTO/SB/05 (08-00)



Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY	Attorney Docket No. PT033P1								
PATENT APPLICATION	First Inventor Ruben et al.								
TRANSMITTAL	Title Four Disulfide Core Domain-Containing (FDCD) Polynucleotides, Polypeptides, and Antibodies								
(Only for new nonprovisional applications under 37 CFR + 1 53(b))	Express Mail Label No.								
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:  Assistant Commissioner for Patents Box Patent Application Washington, DC 20231								
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	<ol> <li>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li> <li>Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> <li>a. Computer Readable Form (CRF)</li> </ol>								
2. Applicant claims small entity status. See 37 CFR 1.27.									
3. Specification [Total Pages 273]  (preferred arrangement set forth below)  - Descriptive title of the Invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D	<ul> <li>b. Specification Sequence Listing on: <ol> <li>CD-ROM or CD-R (2 copies); or</li> <li>paper</li> </ol> </li> <li>c. Statements verifying identity of above copies</li> </ul>								
<ul> <li>Reference to sequence listing, a table,</li> <li>or a computer program listing appendix+</li> </ul>	ACCOMPANYING APPLICATION PARTS								
- Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed)	9. Assignment Papers (cover sheet and document(s))								
- Detailed Description - Claim(s) - Abstract of the Disclosure	10.   37 CFR 3.73(b) Statement   Power of Attorney								
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]	11.								
5. Unexecuted Oath or Declaration Total Pages 2  a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d))  (for anyting time (divisional with Page 17 completed)	12. ☐ Information Disclosure Statement/ Form PTO/SB/08 ☐ Copies of Citations								
(for continuation/divisional with Box 17 completed)  i. □DELETION OF INVENTOR(S)	13.   Preliminary Amendment								
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR ' ' 1.63(d)(2) and 1.33(b).	<ul> <li>14.</li></ul>								
6. Application Data Sheet. See 37 CFR 1.76.									
	16.  Other:								
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Divisional  Continuation-in-Part (CIP) of prior application No: PCT/US00/32462  Prior application information: Examiner  Group/Art Unit:  For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts									
18. CORRESPONDENCE ADDRESS									
☐ Customer Number or Bar Code Label 22195 or ☐ Correspondence address below									
NAME									
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CITY STATE	ZIP CODE								
COUNTRY TELEPHONE	FAX								
NAME (Print/Type) Kenley K Hoover	Registration No. (Attorney/Agent) 40,302								
SIGNATURE	Date June 6, 2001								

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FEE TRANSMITTAL for FY 2001						Complete if Known								
	Pa	tent fees are	subject	to annual r	evision.									
							Applic	ation Nu	mber			Unassign	ed	
							Filing	Date				June 6, 2001		
							First N	amed Inv	ventor			Ruben et al.		
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							Group	Group Art Unit						
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Name (Print/Type) Kenley K-Hoover / / Registration No.: 40,302 Telepho											mplete (if applicable)			
		e) Kenley	1100	ver			Registr	ation N	o.: 40,3	02		Telephone	301-309-8504	
Signatu	re:	-1/-	/ 1.	11 11		-						Date June	6 2001	

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ruben et al.

Application Serial No.: Not assigned Art Unit: Not assigned

Filed: Concurrently herewith Examiner: Not assigned

For: Four Disulfide Core Domain-Containing (FDCD)

Polynucleotides, Polypeptides, and Antibodies Attorney Docket No.: PT033P1

## STATEMENT UNDER 37 C.F.R. 1.821(f)

Assistant Commissioner for Patents Washington, D.C. 20231

Date: The 6, 2001

Sir:

Applicants hereby certify that the hard copy of the sequence listing being filed concurrently herewith and the enclosed computer-readable form of such sequence listing are identical.

Respectfully submitted,

Kenley K. Hoover

Attorney for Applicants

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Reg. No. 40,302

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KKH/RL/ur